

In the United States Patent and Trademark Office

In re Application of: **Dominic P. Behan and Derek T. Chalmers**

Serial No.: 09/060,188

Filed: April 14, 1998

Art Unit: 1646

Examiner: Basi, N

Atty. Docket No. 3086-9



A Method of Identifying Modulators of Cell Surface Membrane Receptors Useful in the Treatment of Disease

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TECH CENTER 1600/2900

Assistant Commissioner for Patents
Washington, D.C. 20231

RESPONSE TO NOTICE TO COMPLY WITH 37 CFR 1.821 THROUGH 1.825

Dear Sir:

In response to the Notice to Comply with Applications Containing Nucleotide and Amino Acid Sequences mailed on June 24, 1999, Applicant respectfully call attention to a Preliminary Amendment, under 37 CFR §1.121, and a separate paper copy of the "Sequence Listing" required under 37 CFR §1.821 filed (as well as a computer readable form of the Sequence Listing), and received in the Office, on June 29, 1998 as evidenced by the date-stamped return post-card. For the record, Applicant herewith attach copies of the Preliminary Amendment and the Sequence Listing including a copy of Applicant's date-stamped return post-card. If the Officer requires another copy of the computer readable form of the Sequence Listing, please contact the undersigned and one will be sent immediately.

Applicants are aware of the Restriction Requirement mailed on June 24, 1999 and will file a response in due course.

Respectfully submitted,

Richard P. Burgoon, Jr.
Attorney for Applicants
USPTO Reg. No. 34,787
(858) 453-7200 ext. 229

Date: September 8, 1999

Dated: September 8, 1999

I hereby certify that is being deposited with the United States Postal Service "EXPRESS MAIL POST OFFICE TO ADDRESSEE" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231. A self-addressed, stamped post-card is also enclosed herewith.

Ann A. Nguyen

EL251689063US

Please date-stamp this self-addressed stamped postcard indicating the date that the PTO received the following documents:

Applicant: BEHAN & CHAUMES

Serial No.: 69/060,188

Filing Date: April 14, 1998

Art Unit:

Title: A Method of Identifying Modulators of Cell Surface Receptors Use All in the treatment of disease

1. preliminary amendment + 4 pages 9. substitute statement
2. sequence listing 11 pages 10. check for 724
3. Power of Attorney form 3 pages with declaration
4. verified statement of Suzanne K. Smully
5. floppy disk containing sequence listing
6. Express Mail Certificate
7. cover letter

